



# Higher integrability for variational integrals with non-standard growth

Mathias Schäffner<sup>1</sup>

Received: 13 May 2020 / Accepted: 30 November 2020  
© The Author(s) 2021

## Abstract

We consider autonomous integral functionals of the form

$$\mathcal{F}[u] := \int_{\Omega} f(Du) dx \quad \text{where } u : \Omega \rightarrow \mathbb{R}^N, N \geq 1,$$

where the convex integrand  $f$  satisfies controlled  $(p, q)$ -growth conditions. We establish higher gradient integrability and partial regularity for minimizers of  $\mathcal{F}$  assuming  $\frac{q}{p} < 1 + \frac{2}{n-1}$ ,  $n \geq 3$ . This improves earlier results valid under the more restrictive assumption  $\frac{q}{p} < 1 + \frac{2}{n}$ .

**Mathematics Subject Classification** 49N60 · 35J70

## 1 Introduction

In this note, we study regularity properties of local minimizers of integral functionals

$$\mathcal{F}[u] := \int_{\Omega} f(Du) dx, \tag{1}$$

where  $\Omega \subset \mathbb{R}^n$ ,  $n \geq 3$ , is a bounded domain,  $u : \Omega \rightarrow \mathbb{R}^N$ ,  $N \geq 1$  and  $f : \mathbb{R}^{N \times n} \rightarrow \mathbb{R}$  is a sufficiently smooth integrand satisfying  $(p, q)$ -growth of the form

**Assumption 1** There exist  $0 < \nu \leq L < \infty$  such that  $f \in C^2(\mathbb{R}^{N \times n})$  satisfies for all  $z, \xi \in \mathbb{R}^{N \times n}$

$$\begin{cases} \nu|z|^p \leq f(z) \leq L(1 + |z|^q), \\ \nu|z|^{p-2}|\xi|^2 \leq \langle \partial^2 f(z)\xi, \xi \rangle \leq L(1 + |z|^2)^{\frac{q-2}{2}}|\xi|^2. \end{cases} \tag{2}$$

---

Communicated by A.Malchiodi.

✉ Mathias Schäffner  
Mathias.schaeffner@tu-dortmund.de

<sup>1</sup> Fakultät für Mathematik, Technische Universität Dortmund, Vogelpothsweg 87, 44227 Dortmund, Germany

Regularity properties of local minimizers of (1) in the case  $p = q$  are classical, see, e.g., [24]. A systematic regularity theory in the case  $p < q$  was initiated by Marcellini in [27,28], see [31] for an overview (for a more up-to-date overview see the introduction in [30]). In particular, Marcellini [29] proves (among other things):

- (A) If  $N = 1, 2 \leq p < q$  and  $\frac{q}{p} < 1 + \frac{2}{n}$ , then every local minimizer  $u \in W_{loc}^{1,p}(\Omega)$  of (1) satisfies  $u \in W_{loc}^{1,\infty}(\Omega)$ .

Local boundedness of the gradient implies that the non-standard growth of  $f$  and  $\partial^2 f$  in (1) becomes irrelevant and higher regularity (depending on the smoothness of  $f$ ) follows by standard arguments, see e.g. [27, Chapter 7].

Only very recently, Bella and the author improved in [6] the result (A) in the sense that 'n' in the assumption on the ratio  $\frac{q}{p}$  can be replaced by 'n - 1' for  $n \geq 3$  (to be precise, [6] considers the non-degenerate version (4) of (2)). The argument in [6] relies on scalar techniques, e.g., Moser-iteration type arguments, and thus cannot be extended to the vectorial case  $N > 1$ .

For the vectorial case  $N > 1$ , Esposito, Leonetti and Mingione showed in [18] that

- (B) If  $2 \leq p < q$  and  $\frac{q}{p} < 1 + \frac{2}{n}$ , then every local minimizer  $u \in W_{loc}^{1,p}(\Omega, \mathbb{R}^N)$  of (1) satisfies  $u \in W_{loc}^{1,q}(\Omega, \mathbb{R}^N)$ .

To the best of our knowledge, there is no improvement of (B) with respect to the relation between the exponents  $p, q$  and the dimension  $n$  available in the literature. Here we provide such an improvement for  $n \geq 3$ .

Before we state the results, we recall a standard notion of local minimizer in the context of functionals with  $(p, q)$ -growth

**Definition 1** We call  $u \in W_{loc}^{1,1}(\Omega)$  a local minimizer of  $\mathcal{F}$  given in (1) iff

$$f(Du) \in L_{loc}^1(\Omega)$$

and

$$\int_{\text{supp } \varphi} f(Du) \, dx \leq \int_{\text{supp } \varphi} f(Du + D\varphi) \, dx$$

for any  $\varphi \in W^{1,1}(\Omega, \mathbb{R}^N)$  satisfying  $\text{supp } \varphi \Subset \Omega$ .

The main result of the present paper is

**Theorem 2** Let  $\Omega \subset \mathbb{R}^n, n \geq 3$ , and suppose Assumption 1 is satisfied with  $2 \leq p < q < \infty$  such that

$$\frac{q}{p} < 1 + \frac{2}{n - 1}. \tag{3}$$

Let  $u \in W_{loc}^{1,1}(\Omega, \mathbb{R}^N)$  be a local minimizer of the functional  $\mathcal{F}$  given in (1). Then,  $u \in W_{loc}^{1,q}(\Omega, \mathbb{R}^N)$ .

Higher gradient integrability is a first step in the regularity theory for integral functionals with  $(p, q)$ -growth, see [7,11,19,20] for further higher integrability results under  $(p, q)$ -conditions. Clearly, we cannot expect to improve from  $W_{loc}^{1,q}$  to  $W_{loc}^{1,\infty}$  for  $N > 1$ , since this even fails in the classic setting  $p = q$ , see [34]. Direct consequences of Theorem 2 are higher differentiability and a further improvement in gradient integrability in the form:

- (i) (Higher differentiability). In the situation of Theorem 2 it holds  $|\nabla u|^{\frac{p-2}{2}} \nabla u \in W_{\text{loc}}^{1,2}(\Omega)$ , see Theorem 5.
- (ii) (Higher integrability). Sobolev inequality and (i) imply  $\nabla u \in L_{\text{loc}}^{\kappa p}(\Omega, \mathbb{R}^{N \times n})$  with  $\kappa = \frac{n}{n-2}$ . Note that  $\kappa p > q$  provided  $\frac{q}{p} < 1 + \frac{2}{n-2}$ .

A further, on first glance less direct, consequence of Theorem 2 is partial regularity of minimizers of (1), see, e.g., [1,7,10,32], for partial regularity results under  $(p, q)$ -conditions. For this, we slightly strengthen the assumptions on the integrand and suppose

**Assumption 3** There exist  $0 < \nu \leq L < \infty$  such that  $f \in C^2(\mathbb{R}^{N \times n})$  satisfies for all  $z, \xi \in \mathbb{R}^{N \times n}$

$$\begin{cases} \nu |z|^p \leq f(z) \leq L(1 + |z|^q), \\ \nu(1 + |z|^2)^{\frac{p-2}{2}} |\xi|^2 \leq \langle \partial^2 f(z) \xi, \xi \rangle \leq L(1 + |z|^2)^{\frac{q-2}{2}} |\xi|^2. \end{cases} \tag{4}$$

In [7], Bildhauer and Fuchs prove partial regularity under Assumption 3 with  $\frac{q}{p} < 1 + \frac{2}{n}$  ([7] contains also more general conditions including, e.g., the subquadratic case). Here we show

**Theorem 4** Let  $\Omega \subset \mathbb{R}^n, n \geq 3$ , and suppose Assumption 3 is satisfied with  $2 \leq p < q < \infty$  such that (3). Let  $u \in W_{\text{loc}}^{1,1}(\Omega, \mathbb{R}^N)$  be a local minimizer of the functional  $\mathcal{F}$  given in (1). Then, there exists an open set  $\Omega_0 \subset \Omega$  with  $|\Omega \setminus \Omega_0| = 0$  such that  $\nabla u \in C^{0,\alpha}(\Omega_0, \mathbb{R}^{N \times n})$  for each  $0 < \alpha < 1$ .

We do not know if (3) in Theorems 2 and 4 is optimal. Classic counterexamples in the scalar case  $N = 1$ , see, e.g., [23,28], show that local boundedness of minimizers can fail if  $\frac{q}{p}$  is too large depending on the dimension  $n$ . In fact, [28, Theorem 6.1] and the recent boundedness result [26] show that  $\frac{1}{p} - \frac{1}{q} \leq \frac{1}{n-1}$  is the sharp condition ensuring local boundedness in the scalar case  $N = 1$  (for sharp results under additional structure assumptions, see, e.g., [14,22]).

For non-autonomous functionals, i.e.,  $\int_{\Omega} f(x, Du) dx$ , rather precise sufficient & necessary conditions are established in [20], where the conditions on  $p, q$  and  $n$  has to be balanced with the (Hölder)-regularity in space of the integrand. However, if the integrand is sufficiently smooth in space, the regularity theory in the non-autonomous case essentially coincides with the autonomous case, see [10]. Currently, regularity theory for non-autonomous integrands with non-standard growth, e.g.  $p(x)$ -Laplacian or double phase functionals are a very active field of research, see, e.g., [2,12,13,15–17,25,33].

Coming back to autonomous integral functionals: In [11] higher gradient integrability is proven assuming so-called 'natural' growth conditions, i.e., no upper bound assumption on  $\partial^2 f$ , under the relation  $\frac{q}{p} < 1 + \frac{1}{n-1}$ . Moreover, in two dimensions we cannot improve the previous results on higher differentiability and partial regularity of, e.g., [7,18], see [8] for a full regularity result under Assumption 3 with  $n = 2$  and  $\frac{q}{p} < 2$ . Finally, we mention the recent paper [3] where optimal Lipschitz-estimates with respect to a right-hand side are proven for functionals with  $(p, q)$ -growth.

Let us briefly describe the main idea in the proof of Theorem 2 and from where our improvement compared to earlier results comes from. The main point is to obtain suitable a priori estimates for minimizers that may already be in  $W_{\text{loc}}^{1,q}(\Omega, \mathbb{R}^N)$ . The claim then follows by a known regularization and approximation procedure, see, e.g., [18]. For minimizers  $v \in W_{\text{loc}}^{1,q}(\Omega, \mathbb{R}^N)$  a Caccioppoli-type inequality

$$\int \eta^2 |D(|Dv|^{\frac{p-2}{2}} Dv)|^2 \lesssim \int |\nabla \eta|^2 (1 + |Dv|^q) \tag{5}$$

is valid for all sufficiently smooth cut-off functions  $\eta$ , see Lemma 1. Very formally, the Caccioppoli inequality (5) can be combined with Sobolev inequality and a simple interpolation inequality to obtain

$$\|Dv\|_{L^{\kappa p}}^p \lesssim \|D(|Dv|^{\frac{p-2}{2}} Dv)\|_{L^2}^2 \lesssim \|Dv\|_{L^q}^q \lesssim \|Dv\|_{L^{\kappa p}}^{q\theta} \|Dv\|_{L^p}^{(1-\theta)q},$$

where  $\theta = \frac{\frac{1}{p} - \frac{1}{\kappa p}}{\frac{1}{p} - \frac{1}{\kappa p}} \in (0, 1)$  and  $\kappa = \frac{n}{n-2}$ . The  $\|Dv\|_{L^{\kappa p}}$ -factor on the right-hand side can be absorbed provided we have  $\frac{q\theta}{p} < 1$ , but this is precisely the ‘old’  $(p, q)$ -condition  $\frac{q}{p} < 1 + \frac{2}{n}$ , this type of argument was previously rigorously implemented in, e.g., [7,19]. Our improvement comes from choosing a cut-of function  $\eta$  in (5) that is optimized with respect to  $v$ , which enables us to use Sobolev inequality on  $n - 1$ -dimensional spheres wich gives the desired improvement, see Sect. 3. This idea has its origin in joint works with Bella [4,5] on linear non-uniformly elliptic equations.

With Theorem 2 at hand, we can follows the arguments of [7] almost verbatim to prove Theorem 4. In Sect. 4, we sketch (following [7]) a corresponding  $\varepsilon$ -regularity result from which Theorem 4 follows by standard methods.

## 2 Preliminary results

In this section, we gather some known facts. We begin with a well-known higher differentiability result for minimizers of (1) under the assumption that  $u \in W_{loc}^{1,q}(\Omega, \mathbb{R}^N)$ :

**Lemma 1** *Let  $\Omega \subset \mathbb{R}^n$ ,  $n \geq 2$ , and suppose Assumption 1 is satisfied with  $2 \leq p < q < \infty$ . Let  $v \in W_{loc}^{1,q}(\Omega, \mathbb{R}^N)$  be a local minimizer of the functional  $\mathcal{F}$  given in (1). Then,  $|Dv|^{\frac{p-2}{2}} Dv \in W_{loc}^{1,2}(\Omega, \mathbb{R}^{N \times n})$  and there exists  $c = c(\frac{L}{\nu}, n, N, p, q) \in [1, \infty)$  such that for every  $Q \in \mathbb{R}^{N \times n}$  and every  $\eta \in C_c^1(\Omega)$*

$$\int_{\Omega} \eta^2 |D(|Dv|^{\frac{p-2}{2}} Dv)|^2 dx \leq c \int_{\Omega} (1 + |Dv|^2)^{\frac{q-2}{2}} |Dv - Q|^2 |\nabla \eta|^2 dx. \tag{6}$$

The Lemma 1 is known, see e.g. [7,18,28]. Since we did not find a precise reference for estimate (6), we included a prove here following essentially the argument of [18].

**Proof of Lemma 1** Without loss of generality, we suppose  $\nu = 1$  the general case  $\nu > 0$  follows by replacing  $f$  with  $f/\nu$  (and thus  $L$  with  $L/\nu$ ). Throughout the proof, we write  $\lesssim$  if  $\leq$  holds up to a multiplicative constant depending only on  $n, N, p$  and  $q$ .

Thanks to the assumption  $v \in W_{loc}^{1,q}(\Omega, \mathbb{R}^N)$ , the minimizer  $v$  satisfies the Euler-Largrange equation

$$\int_{\Omega} \langle \partial f(Dv), D\varphi \rangle dx = 0 \quad \text{for all } \varphi \in W_0^{1,q}(\Omega, \mathbb{R}^N) \tag{7}$$

(for this we use that the convexity and growth conditions of  $f$  imply  $|\partial f(z)| \leq c(1 + |z|^{q-1})$  for some  $c = c(L, n, N, q, ) < \infty$ ). Next, we use the difference quotient method, to differentiate the above equation: For  $s \in \{1, \dots, n\}$ , we consider the difference quotient operator

$$\tau_{s,h}v := \frac{1}{h}(v(\cdot + he_s) - v) \quad \text{where } v \in L_{loc}^1(\mathbb{R}^n, \mathbb{R}^N).$$

Fix  $\eta \in C_c^1(\Omega)$ . Testing (7) with  $\varphi := \tau_{s,-h}(\eta^2(\tau_{s,h}(v - \ell_Q))) \in W_0^{1,q}(\Omega)$ , where  $\ell_Q(x) = Qx$ , we obtain

$$\begin{aligned} (I) &:= \int_{\Omega} \eta^2 \langle \tau_{s,h} \partial f(Dv), \tau_{s,h} Dv \rangle dx \\ &= -2 \int_{\Omega} \eta \langle \tau_{s,h} \partial f(Dv), \tau_{s,h}(v - \ell_Q) \otimes \nabla \eta \rangle dx =: (II). \end{aligned}$$

Writing  $\tau_{s,h} \partial f(Dv) = \frac{1}{h} \partial f(Dv + th\tau_{s,h} Dv)|_{t=0}^{t=1}$ , the fundamental theorem of calculus yields

$$\begin{aligned} &\int_{\Omega} \int_0^1 \eta^2 (\partial^2 f(Dv + th\tau_{s,h} Dv)) \tau_{s,h} Dv, \tau_{s,h} Dv \rangle dt dx = (I) \\ &= (II) = -2 \int_{\Omega} \int_0^1 \eta \langle \partial^2 f(Dv + th\tau_{s,h} Dv) \tau_{s,h} Dv, (\tau_{s,h} v - Qe_s) \otimes \nabla \eta \rangle dt dx, \end{aligned} \tag{8}$$

where we use  $\tau_{h,s} \ell_Q = Qe_s$ . Youngs inequality yields

$$|(II)| \leq \frac{1}{2}(I) + 2(III), \tag{9}$$

where

$$(III) := \int_{\Omega} \int_0^1 \langle \partial^2 f(Dv + th\tau_{s,h} Dv) (\tau_{s,h} v - Qe_s) \otimes \nabla \eta, (\tau_{s,h} v - Qe_s) \otimes \nabla \eta \rangle dt dx.$$

Combining (8), (9) with the assumptions on  $\partial^2 f$ , see (2), with the elementary estimate

$$|\tau_{s,h} (|Dv|^{\frac{p-2}{2}} Dv)|^2 \lesssim \int_0^1 |Dv + th\tau_{s,h} Dv|^{\frac{p-2}{2}} |\tau_{s,h} Dv|^2 dt$$

for  $h > 0$  sufficiently small (see e.g. [18, Lemma 3.4]), we obtain

$$\begin{aligned} &\int_{\Omega} \eta^2 |\tau_{s,h} (|Dv|^{\frac{p-2}{2}} Dv)|^2 dx \\ &\lesssim \int_{\Omega} \int_0^1 \eta^2 |Dv + th\tau_{s,h} Dv|^{\frac{p-2}{2}} |\tau_{s,h} Dv|^2 dt dx \leq (I) \\ &\leq 4(III) \leq 4L \int_{\Omega} \int_0^1 (1 + |Dv + th\tau_{s,h} Dv|^{q-2}) |\nabla \eta|^2 |\tau_{s,h} v - Qe_s|^2 dt dx. \end{aligned} \tag{10}$$

Estimate (10), the fact  $v \in W_{loc}^{1,q}(\Omega)$  and the arbitrariness of  $\eta \in C_c^1(\Omega)$  and  $s \in \{1, \dots, n\}$  yield  $|Dv|^{\frac{p-2}{2}} Dv \in W_{loc}^{1,2}(\Omega)$ . Sending  $h$  to zero in (10), we obtain

$$\int_{\Omega} \eta^2 |\partial_s (|Dv|^{\frac{p-2}{2}} Dv)|^2 dx \lesssim L \int_{\Omega} (1 + |Dv|^{q-2}) |\nabla \eta|^2 |\partial_s v - Qe_s|^2 dx$$

the desired estimate (6) follows by summing over  $s$ . □

Next, we state a higher differentiability result under the more restrictive Assumption 3 which will be used in the proof of Theorem 4.

**Lemma 2** *Let  $\Omega \subset \mathbb{R}^n$ ,  $n \geq 2$ , and suppose Assumption 3 is satisfied with  $2 \leq p < q < \infty$ . Let  $v \in W_{loc}^{1,q}(\Omega, \mathbb{R}^N)$  be a local minimizer of the functional  $\mathcal{F}$  given in (1). Then,  $h :=$*

$(1 + |Dv|^2)^{\frac{p}{4}} \in W_{\text{loc}}^{1,2}(\Omega)$  and there exists  $c = c(\frac{L}{v}, n, N, p, q) \in [1, \infty)$  such that for every  $Q \in \mathbb{R}^{N \times n}$

$$\int_{\Omega} \eta^2 |\nabla h|^2 dx \leq c \int_{\Omega} (1 + |Dv|^2)^{\frac{q-2}{2}} |Dv - Q|^2 |\nabla \eta|^2 dx \quad \text{for all } \eta \in C_c^1(\Omega). \quad (11)$$

A variation of Lemma 2 can be found in [7] and we only sketch the proof.

**Proof of Lemma 2** With the same argument as in the proof of Lemma 1 but using (4) instead of (2), we obtain  $v \in W_{\text{loc}}^{2,2}(\Omega, \mathbb{R}^N)$  and the Caccioppoli inequality

$$\int_{\Omega} \eta^2 (1 + |Dv|^2)^{\frac{p-2}{2}} |D^2v|^2 dx \leq c \int_{\Omega} (1 + |Dv|^2)^{\frac{q-2}{2}} |Dv - Q|^2 |\nabla \eta|^2 dx \quad (12)$$

for all  $\eta \in C_c^1(\Omega)$ , where  $c = c(\frac{L}{v}, n, N, p, q) < \infty$ . Formally, the chain-rule implies

$$|\nabla h|^2 \leq c(1 + |Dv|^2)^{\frac{p-2}{2}} |D^2v|^2, \quad (13)$$

where  $c = c(n, p) < \infty$ , and the claimed estimate (11) follows from (12) and (13). In general, we are not allowed to use the chain rule, but the above reasoning can be made rigorous: Consider a truncated version  $h_m$  of  $h$ , where  $h_m := \Theta_m(|Dv|)$  with

$$\Theta_m(t) := \begin{cases} (1 + t^2)^{\frac{p}{4}} & \text{if } 0 \leq t \leq m \\ (1 + m^2)^{\frac{p}{4}} & \text{if } t \geq m \end{cases}.$$

For  $h_m$  we are allowed to use the chain-rule and (12) together with (13) with  $h$  replaced by  $h_m$  imply (11) with  $h$  replaced by  $h_m$ . The claimed estimate follows by taking the limit  $m \rightarrow \infty$ , see [7, Proposition 3.2] for details.  $\square$

The following technical lemma is contained in [6] (see also [4, proof of Lemma 2.1, Step 1]) and plays a key role in the proof of Theorem 2

**Lemma 3** ([6, Lemma 3]) Fix  $n \geq 2$ . For given  $0 < \rho < \sigma < \infty$  and  $v \in L^1(B_\sigma)$ , consider

$$J(\rho, \sigma, v) := \inf \left\{ \int_{B_\sigma} |v| |\nabla \eta|^2 dx \mid \eta \in C_0^1(B_\sigma), \eta \geq 0, \eta = 1 \text{ in } B_\rho \right\}.$$

Then for every  $\delta \in (0, 1]$

$$J(\rho, \sigma, v) \leq (\sigma - \rho)^{-(1+\frac{1}{\delta})} \left( \int_{\rho}^{\sigma} \left( \int_{\partial B_r} |v| d\mathcal{H}^{n-1} \right)^{\delta} dr \right)^{\frac{1}{\delta}}. \quad (14)$$

For convenience of the reader we include a short proof of Lemma 3

**Proof of Lemma 3** Estimate (14) follows directly by minimizing among radial symmetric cut-off functions. Indeed, we obviously have for every  $\varepsilon \geq 0$

$$\begin{aligned} & J(\rho, \sigma, v) \\ & \leq \inf \left\{ \int_{\rho}^{\sigma} \eta'(r)^2 \left( \int_{\partial B_r} |v| d\mathcal{H}^{n-1} + \varepsilon \right) dr \mid \eta \in C^1(\rho, \sigma), \eta(\rho) = 1, \eta(\sigma) = 0 \right\} \\ & =: J_{\text{Id}, \varepsilon}. \end{aligned}$$

For  $\varepsilon > 0$ , the one-dimensional minimization problem  $J_{1d,\varepsilon}$  can be solved explicitly and we obtain

$$J_{1d,\varepsilon} = \left( \int_{\rho}^{\sigma} \left( \int_{\partial B_r} |v| d\mathcal{H}^{n-1} + \varepsilon \right) dr \right)^{-1}. \tag{15}$$

To see (15), we observe that using the assumption  $v \in L^1(B_{\sigma})$  and a simple approximation argument we can replace  $\eta \in C^1(\rho, \sigma)$  with  $\eta \in W^{1,\infty}(\rho, \sigma)$  in the definition of  $J_{1d,\varepsilon}$ . Let  $\tilde{\eta} : [\rho, \sigma] \rightarrow [0, \infty)$  be given by

$$\tilde{\eta}(r) := 1 - \left( \int_{\rho}^{\sigma} b(r)^{-1} dr \right)^{-1} \int_{\rho}^r b(r)^{-1} dr, \quad \text{where } b(r) := \int_{\partial B_r} |v| + \varepsilon.$$

Clearly,  $\tilde{\eta} \in W^{1,\infty}(\rho, \sigma)$  (since  $b \geq \varepsilon > 0$ ),  $\tilde{\eta}(\rho) = 1$ ,  $\tilde{\eta}(\sigma) = 0$ , and thus

$$J_{1d,\varepsilon} \leq \int_{\rho}^{\sigma} \tilde{\eta}'(r)^2 b(r) dr = \left( \int_{\rho}^{\sigma} b(r)^{-1} dr \right)^{-1}.$$

The reverse inequality follows by Hölder’s inequality. Next, we deduce (14) from (15): For every  $s > 1$ , we obtain by Hölder inequality  $\sigma - \rho = \int_{\rho}^{\sigma} \left(\frac{b}{b}\right)^{\frac{s-1}{s}} \leq \left(\int_{\rho}^{\sigma} b^{s-1}\right)^{\frac{1}{s}} \left(\int_{\rho}^{\sigma} \frac{1}{b}\right)^{\frac{s-1}{s}}$  with  $b$  as above, and by (15) that

$$J_{1d,\varepsilon} \leq (\sigma - \rho)^{-\frac{s}{s-1}} \left( \int_{\rho}^{\sigma} \left( \int_{\partial B_r} |v| + \varepsilon \right) dr \right)^{\frac{s-1}{s-1}}.$$

Sending  $\varepsilon$  to zero, we obtain (14) with  $\delta = s - 1 > 0$ . □

### 3 Higher integrability - Proof of Theorem 2

In this section, we prove the following higher integrability and differentiability result which clearly contains Theorem 2

**Theorem 5** *Let  $\Omega \subset \mathbb{R}^n$ ,  $n \geq 2$ , and suppose Assumption 1 is satisfied with  $2 \leq p < q < \infty$  such that  $\frac{q}{p} < 1 + \min\{\frac{2}{n-1}, 1\}$ . Let  $u \in W_{loc}^{1,1}(\Omega, \mathbb{R}^N)$  be a local minimizer of the functional  $\mathcal{F}$  given in (1). Then,  $u \in W_{loc}^{1,q}(\Omega, \mathbb{R}^N)$  and  $|Du|^{\frac{p-2}{2}} Du \in W_{loc}^{1,2}(\Omega, \mathbb{R}^{N \times n})$ . Moreover, for*

$$\chi = \frac{n-1}{n-3} \text{ if } n \geq 4 \quad \chi \in \left(\frac{1}{2-\frac{q}{p}}, \infty\right) \text{ if } n = 3 \text{ and } \quad \chi := \infty \text{ if } n = 2. \tag{16}$$

there exists  $c = c(\frac{L}{v}, n, N, p, q, \chi) \in [1, \infty)$  such that for every  $B_R(x_0) \Subset \Omega$

$$\int_{B_{\frac{R}{2}}(x_0)} |Du|^q dx + R^2 \int_{B_{\frac{R}{2}}(x_0)} |D(|Du|^{\frac{p-2}{2}} Du)|^2 dx \leq c \left( \int_{B_R(x_0)} 1 + f(Du) dx \right)^{\frac{\alpha q}{p}} \tag{17}$$

where

$$\alpha := \frac{1 - \frac{q}{\chi p}}{2 - \frac{q}{p} - \frac{1}{\chi}}. \tag{18}$$

**Proof of Theorem 5** Without loss of generality, we suppose  $\nu = 1$  the general case  $\nu > 0$  follows by replacing  $f$  with  $f/\nu$ . Throughout the proof, we write  $\lesssim$  if  $\leq$  holds up to a multiplicative constant depending only on  $L, n, N, p$  and  $q$ .

Following, e.g., [7,18,19], we consider the perturbed integral functionals

$$\mathcal{F}_\lambda(w) := \int_\Omega f_\lambda(Dw) \, dx, \quad \text{where } f_\lambda(z) := f(z) + \lambda|z|^q \quad \text{with } \lambda \in (0, 1). \quad (19)$$

We then derive suitable a priori higher differentiability and integrability estimates for local minimizers of  $\mathcal{F}_\lambda$  that are independent of  $\lambda \in (0, 1)$ . The claim then follows with help of a by now standard double approximation procedure in spirit of [18].

**Step 1. One-step improvement.**

Let  $v \in W_{\text{loc}}^{1,1}(\Omega, \mathbb{R}^N)$  be a local minimizer of the functional  $\mathcal{F}_\lambda$  defined in (19),  $B_1 \Subset \Omega$ , and let  $\chi > 1$  be defined in (16). We claim that there exists  $c = c(L, n, N, p, q, \chi) \in [1, \infty)$  such that for all  $\frac{1}{2} \leq \rho < \sigma \leq 1$  and every  $\lambda \in (0, 1]$

$$\begin{aligned} & \int_{B_1} 1 + f_\lambda(Dv) + \int_{B_\rho} |D(|Dv|^{\frac{p-2}{2}} Dv)|^2 \, dx \\ & \leq \frac{c \left( \int_{B_1} 1 + f_\lambda(Dv) \right)^{\frac{\chi}{\chi-1} \left( 1 - \frac{q}{\lambda p} \right)}}{(\sigma - \rho)^{1 + \frac{q}{p}}} \\ & \quad \times \left( \int_{B_1} 1 + f_\lambda(Dv) + \int_{B_\sigma} |D(|Dv|^{\frac{p-2}{2}} Dv)|^2 \, dx \right)^{\frac{\chi}{\chi-1} \left( \frac{q}{p} - 1 \right)} \end{aligned} \quad (20)$$

with the understanding  $\frac{\infty}{\infty-1} = 1$  and

$$\int_{B_\rho} |D(|Dv|^{\frac{p-2}{2}} Dv)|^2 \, dx \lesssim \frac{1}{(\sigma - \rho)^2} \frac{1}{\lambda} \int_{B_\sigma} 1 + f_\lambda(Dv) \, dx. \quad (21)$$

The growth conditions of  $f_\lambda$  and the minimality of  $v$  imply  $v \in W_{\text{loc}}^{1,q}(\Omega, \mathbb{R}^N)$  and thus by Lemma 1

$$\int_\Omega |D(|Dv|^{\frac{p-2}{2}} Dv)|^2 \eta^2 \, dx \lesssim \int_\Omega (1 + |Dv|^2)^{\frac{q-2}{2}} |Dv|^2 |\nabla \eta|^2 \, dx \quad (22)$$

for all  $\eta \in C_c^1(\Omega)$ . Estimate (21) follows directly from (22) for  $\eta \in C_c^1(B_\sigma)$  with  $0 \leq \eta \leq 1$ ,  $\eta \equiv 1$  on  $B_\rho$  and  $|\nabla \eta| \leq \frac{2}{\sigma - \rho}$ , combined with  $|z|^q \leq \frac{1}{\lambda} f_\lambda(z)$  and  $\lambda \in (0, 1]$ .

Hence, it is left to show (20). For this, we use a technical estimate which follows from Lemma 3 and Hölders inequality: For given  $0 < \rho < \sigma < \infty$  and  $w \in L^q(B_\sigma)$  it holds

$$J(\rho, \sigma, |w|^q) \leq \frac{\left( \int_{B_\sigma \setminus B_\rho} |w|^p \right)^{\frac{\chi}{\chi-1} \left( 1 - \frac{q}{\lambda p} \right)}}{(\sigma - \rho)^{1 + \frac{q}{p}}} \left( \int_\rho^\sigma \|w\|_{L^{\chi p}(\partial B_r)}^p \, dr \right)^{\frac{\chi}{\chi-1} \left( \frac{q}{p} - 1 \right)}, \quad (23)$$

where  $J$  is defined as in Lemma 3. We postpone the derivation of (23) to the end of this step.

Combining (22) with  $(1 + |Dv|^2)^{\frac{q-2}{2}} |Dv|^2 \leq (1 + |Dv|)^q$  and estimate (23) with  $w = 1 + |Dv|$ , we obtain



$$\int_{B_\rho} |D(|Dv|^{\frac{p-2}{2}} Dv)|^2 dx \lesssim \frac{\left(\int_{B_\sigma \setminus B_\rho} (1 + |Dv|)^p dx\right)^{\frac{\chi}{\chi-1} \left(1 - \frac{q}{\chi p}\right)}}{(\sigma - \rho)^{1 + \frac{q}{p}}} \left(\int_\rho^\sigma \|1 + |Dv|\|_{L^{\chi p}(\partial B_r)}^p dr\right)^{\frac{\chi}{\chi-1} \left(\frac{q}{p} - 1\right)}. \tag{24}$$

Next, we use the Sobolev inequality on spheres to estimate the second factor on the right-hand side in (24): For  $n \geq 2$  there exists  $c = c(n, N, \chi) \in [1, \infty)$  such that for all  $r > 0$

$$\|Dv\|_{L^{\chi p}(\partial B_r)}^p \leq cr^{(n-1)\left(\frac{1}{\chi} - 1\right)} \left(\int_{\partial B_r} |Dv|^p d\mathcal{H}^{n-1} + r^2 \int_{\partial B_r} |D(|Dv|^{\frac{p-2}{2}} Dv)|^2 d\mathcal{H}^{n-1}\right). \tag{25}$$

Combining (25) with elementary estimates and assumption  $\frac{1}{2} \leq \rho < \sigma \leq 1$ , we obtain

$$\begin{aligned} \int_\rho^\sigma \|1 + |Dv|\|_{L^{\chi p}(\partial B_r)}^p dr &\lesssim \int_\rho^\sigma 1 + \|Dv\|_{L^{\chi p}(\partial B_r)}^p \\ &\lesssim \int_\rho^\sigma 1 + \left(\int_{\partial B_r} |Dv|^p + |D(|Dv|^{\frac{p-2}{2}} Dv)|^2 d\mathcal{H}^{n-1}\right) dr \\ &\lesssim \int_{B_\sigma \setminus B_\rho} 1 + |Dv|^p + |D(|Dv|^{\frac{p-2}{2}} Dv)|^2 dx. \end{aligned} \tag{26}$$

Combining (24) and estimate (26), we obtain

$$\begin{aligned} &\int_{B_\rho} |D(|Dv|^{\frac{p-2}{2}} Dv)|^2 dx \\ &\leq \frac{c \left(\int_{B_1} (1 + |Dv|)^p dx\right)^{\frac{\chi}{\chi-1} \left(1 - \frac{q}{\chi p}\right)}}{(\sigma - \rho)^{1 + \frac{q}{p}}} \left(\int_{B_\sigma} 1 + |Dv|^p + |D(|Dv|^{\frac{p-2}{2}} Dv)|^2 dx\right)^{\frac{\chi}{\chi-1} \left(\frac{q}{p} - 1\right)}, \end{aligned}$$

The claimed estimate (20) now follows since  $|z|^p \leq f(z) \leq f_\lambda(z)$ ,  $\frac{\chi}{\chi-1} \left(1 - \frac{q}{\chi p} + \frac{q}{p} - 1\right) = \frac{q}{p} \geq 1$  and  $\int_{B_1} 1 + f_\lambda(Dv) dx \geq |B_1|$ .

Finally, we present the computations regarding (23): Lemma 3 yields

$$J(\sigma, \rho, |w|^q) \leq \frac{\left(\int_\rho^\sigma \|w\|_{L^q(\partial B_r)}^{q\delta} dr\right)^{\frac{1}{\delta}}}{(\sigma - \rho)^{1 + \frac{1}{\delta}}} \quad \text{for every } \delta > 0.$$

Using two times the Hölder inequality, we estimate

$$\begin{aligned} \left(\int_\rho^\sigma \|w\|_{L^q(\partial B_r)}^{q\delta} dr\right)^{\frac{1}{\delta}} &\leq \left(\int_\rho^\sigma \|w\|_{L^p(\partial B_r)}^{\theta q\delta} \|w\|_{L^{\chi p}(\partial B_r)}^{(1-\theta)q\delta} dr\right)^{\frac{1}{\delta}} \quad \text{where } \frac{\theta}{p} + \frac{1-\theta}{\chi p} = \frac{1}{q} \\ &\leq \left(\int_\rho^\sigma \|w\|_{L^p(\partial B_r)}^{\theta q\delta \frac{s}{s-1}} dr\right)^{\frac{s-1}{s\delta}} \left(\int_\rho^\sigma \|w\|_{L^{\chi p}(\partial B_r)}^{(1-\theta)q\delta s} dr\right)^{\frac{1}{s\delta}} \quad \text{for every } s > 1. \end{aligned}$$

Inequality (23) follows with the admissible choice

$$\delta = \frac{p}{q} \quad \text{and} \quad s = \frac{1}{1-\theta} \quad \left(\text{recall } 1-\theta = \frac{\frac{1}{p} - \frac{1}{q}}{\frac{1}{p} - \frac{1}{\chi p}} \text{ and } p < q\right)$$

which ensures  $\theta q \delta_{\frac{s}{s-1}} = (1 - \theta)q \delta s = p$ .

**Step 2. Iteration.**

We claim that there exists  $c = c(L, n, N, p, q, \chi) \in [1, \infty)$  such that

$$\int_{B_{\frac{1}{2}}} |Dv|^p + |D(|Dv|^{\frac{p-2}{2}} Dv)|^2 dx \leq c \left( \int_{B_1} 1 + f_\lambda(Dv) dx \right)^\alpha, \tag{27}$$

where  $\alpha$  is defined in (18). For  $k \in \mathbb{N} \cup \{0\}$ , we set

$$\rho_k = \frac{3}{4} - \frac{1}{4^{1+k}} \quad \text{and} \quad J_k := \int_{B_{\rho_k}} 1 + f_\lambda(Dv) + \int_{B_{\rho_k}} |D(|Dv|^{\frac{p-2}{2}} Dv)|^2 dx.$$

Estimate (21) and the choice of  $\rho_k$  imply for  $\lambda \in (0, 1]$

$$\sup_{k \in \mathbb{N}} J_k \leq \int_{B_1} 1 + f_\lambda(Dv) + \int_{B_{\frac{3}{4}}} |D(|Dv|^{\frac{p-2}{2}} Dv)|^2 dx \lesssim \frac{1}{\lambda} \int_{B_1} 1 + f_\lambda(Dv) dx < \infty. \tag{28}$$

From (20) we deduce the existence of  $c = c(L, n, N, p, q, \chi) \in [1, \infty)$  such that for every  $k \in \mathbb{N}$

$$J_{k-1} \leq c 4^{(1+\frac{q}{p})k} \left( \int_{B_1} 1 + f_\lambda(Dv) \right)^{\frac{\chi}{\chi-1} (1-\frac{q}{\chi p})} J_k^{\frac{\chi}{\chi-1} \frac{q-p}{p}}. \tag{29}$$

Assumption  $\frac{q}{p} < 1 + \min\{1, \frac{2}{n-1}\}$  and the choice of  $\chi$  yield

$$\frac{\chi}{\chi-1} \frac{q-p}{p} \stackrel{(16)}{=} \begin{cases} \frac{q}{p} - 1 & \text{if } n = 2 \\ \frac{\chi}{\chi-1} \frac{q-p}{p} & \text{if } n = 3 < 1, \\ \frac{n-1}{2} (\frac{q}{p} - 1) & \text{if } n \geq 4 \end{cases}$$

where we use for  $n = 3$  that  $\chi \stackrel{(16)}{>} \frac{1}{2-\frac{q}{p}} > 0$  and

$$\frac{\chi}{\chi-1} \frac{q-p}{p} < 1 \iff \frac{q-p}{p} < 1 - \frac{1}{\chi} \iff \frac{1}{\chi} < 2 - \frac{q}{p}.$$

Hence, iterating (29) we obtain (using the uniform bound (28) on  $J_k$  and  $\frac{\chi}{\chi-1} \frac{q-p}{p} < 1$ )

$$\int_{B_{\frac{1}{2}}} |Dv|^p + |D(|Dv|^{\frac{p-2}{2}} Dv)|^2 dx \leq J_0 \lesssim \left( \int_{B_1} 1 + f_\lambda(Dv) \right)^{\frac{\chi}{\chi-1} (1-\frac{q}{\chi p}) \sum_{k=0}^\infty (\frac{\chi}{\chi-1} \frac{q-p}{p})^k} \tag{30}$$

and the claimed estimate (27) follow from

$$\alpha = \frac{\chi}{\chi-1} (1 - \frac{q}{\chi p}) \sum_{k=0}^\infty (\frac{\chi}{\chi-1} \frac{q-p}{p})^k.$$

**Step 3. Conclusion.**

We assume  $B_1 \Subset \Omega$  and show that there exists  $c = c(L, n, N, p, q, \chi) \in [1, \infty)$

$$\int_{B_{\frac{1}{8}}} |Du|^q dx \leq c \left( \int_{B_1} 1 + f(Du) dx \right)^{\frac{\alpha q}{p}}, \tag{31}$$

where  $\alpha$  is given as in (18) above. Clearly, standard scaling, translation and covering arguments yield

$$\int_{B_{\frac{R}{2}}(x_0)} |Du|^q dx \leq c \left( \int_{B_R(x_0)} 1 + f(Du) dx \right)^{\frac{\alpha q}{p}}$$

for all  $B_R(x_0) \Subset \Omega$  and  $c = c(L, n, N, p, q, \chi) \in [1, \infty)$ . The claimed estimate (17) then follows from Lemma 1.

Following [18], we introduce in addition to  $\lambda \in (0, 1)$  a second small parameter  $\varepsilon > 0$  which is related to a suitable regularization of  $u$ . For  $\varepsilon \in (0, \varepsilon_0)$ , where  $0 < \varepsilon_0 \leq 1$  is such that  $B_{1+\varepsilon_0} \Subset \Omega$ , we set  $u_\varepsilon := u * \varphi_\varepsilon$  with  $\varphi_\varepsilon := \varepsilon^{-n} \varphi(\frac{\cdot}{\varepsilon})$  and  $\varphi$  being a non-negative, radially symmetric mollifier, i.e. it satisfies

$$\varphi \geq 0, \quad \text{supp } \varphi \subset B_1, \quad \int_{\mathbb{R}^n} \varphi(x) dx = 1, \quad \varphi(\cdot) = \tilde{\varphi}(|\cdot|) \quad \text{for some } \tilde{\varphi} \in C^\infty(\mathbb{R}).$$

Given  $\varepsilon, \lambda \in (0, \varepsilon_0)$ , we denote by  $v_{\varepsilon,\lambda} \in u_\varepsilon + W_0^{1,q}(B_1)$  the unique function satisfying

$$\int_{B_1} f_\lambda(Dv_{\varepsilon,\lambda}) dx \leq \int_{B_1} f_\lambda(Dv) dx \quad \text{for all } v \in u_\varepsilon + W_0^{1,q}(B_1). \tag{32}$$

Combining Sobolev inequality with the assumption  $\frac{q}{p} < 1 + \frac{2}{n-2}$  and estimate (27), we have

$$\begin{aligned} \left( \int_{B_{\frac{1}{8}}} |Dv_{\varepsilon,\lambda}|^q dx \right)^{\frac{p}{q}} &\lesssim \int_{B_{\frac{1}{8}}} |Dv_{\varepsilon,\lambda}|^p + |D(|Dv_{\varepsilon,\lambda}|^{\frac{p-2}{2}} Dv_{\varepsilon,\lambda})|^2 dx \\ &\stackrel{(27)}{\lesssim} \left( \int_{B_1} 1 + f_\lambda(Dv_{\varepsilon,\lambda}) dx \right)^\alpha \\ &\stackrel{(19),(32)}{\leq} \left( \int_{B_1} 1 + f(Du_\varepsilon) + \lambda |Du_\varepsilon|^q dx \right)^\alpha \\ &\leq \left( |B_1| + \int_{B_{1+\varepsilon}} f(Du) dx + \lambda \int_{B_1} |Du_\varepsilon|^q dx \right)^\alpha, \end{aligned} \tag{33}$$

where we used Jensen’s inequality and the convexity of  $f$  in the last step. Similarly,

$$\begin{aligned} \int_{B_1} |Dv_{\varepsilon,\lambda}|^p dx &\stackrel{(2)}{\leq} \int_{B_1} f(Dv_{\varepsilon,\lambda}) dx \stackrel{(19)(32)}{\leq} \int_{B_1} f(Du_\varepsilon) + \lambda |Du_\varepsilon|^q dx \\ &\leq \int_{B_{1+\varepsilon}} f(Du) dx + \lambda \int_{B_1} |Du_\varepsilon|^q dx. \end{aligned} \tag{34}$$

Fix  $\varepsilon \in (0, \varepsilon_0)$ . In view of (33) and (34), we find  $w_\varepsilon \in u_\varepsilon + W_0^{1,p}(B_1)$  such that as  $\lambda \rightarrow 0$ , up to subsequence,

$$\begin{aligned} v_{\varepsilon,\lambda} &\rightharpoonup w_\varepsilon \quad \text{weakly in } W^{1,p}(B_1), \\ Dv_{\varepsilon,\lambda} &\rightharpoonup Dw_\varepsilon \quad \text{weakly in } L^q(B_{\frac{1}{8}}). \end{aligned}$$

Hence, a combination of (33), (34) with the weak lower-semicontinuity of convex functionals yield

$$\|Dw_\varepsilon\|_{L^q(B_{\frac{1}{8}})} \leq \liminf_{\lambda \rightarrow 0} \|Dv_{\varepsilon,\lambda}\|_{L^{kp}(B_{\frac{1}{8}})} \lesssim \left( \int_{B_{1+\varepsilon}} f(Du) dx + 1 \right)^{\frac{\alpha}{p}} \tag{35}$$

$$\int_{B_1} |Dw_\varepsilon|^p dx \leq \int_{B_1} f(Dw_\varepsilon) dx \leq \int_{B_{1+\varepsilon}} f(Du) dx. \tag{36}$$

Since  $w_\varepsilon \in u_\varepsilon + W_0^{1,q}(B_1)$  and  $u_\varepsilon \rightarrow u$  in  $W^{1,p}(B_1)$ , we find by (36) a function  $w \in u + W_0^{1,p}(B_1)$  such that, up to subsequence,

$$Dw_\varepsilon \rightharpoonup Dw \text{ weakly in } L^p(B_1).$$

Appealing to the bounds (35), (36) and lower semicontinuity, we obtain

$$\|Dw\|_{L^q(B_{\frac{1}{8}})} \lesssim \left( \int_{B_1} f(Du) dx + 1 \right)^{\frac{\alpha}{p}} \tag{37}$$

$$\int_{B_1} f(Dw) dx \leq \int_{B_1} f(Du) dx. \tag{38}$$

Inequality (38), strict convexity of  $f$  and the fact  $w \in u + W_0^{1,p}(B_1)$  imply  $w = u$  and thus the claimed estimate (31) is a consequence of (37).  $\square$

### 4 Partial regularity - Proof of Theorem 4

Theorem 4 follows from, the higher integrability statement Theorem 2, the  $\varepsilon$ -regularity statement of Lemma 4 below and a well-known iteration argument.

**Lemma 4** *Let  $\Omega \subset \mathbb{R}^n$ ,  $n \geq 3$ , and suppose Assumption 3 is satisfied with  $2 \leq p < q < \infty$  such that  $\frac{q}{p} < 1 + \frac{2}{n-1}$ . Fix  $M > 0$ . There exists  $C^* = C^*(n, N, p, q, \frac{1}{\nu}, M) \in [1, \infty)$  such that for every  $\tau \in (0, \frac{1}{4})$  there exists  $\varepsilon = \varepsilon(M, \tau) > 0$  such that the following is true: Let  $u \in W_{loc}^{1,1}(\Omega, \mathbb{R}^N)$  be a local minimizer of the functional  $\mathcal{F}$  given in (1). Suppose for some ball  $B_r(x) \Subset \Omega$*

$$|(Du)_{x,r}| \leq M,$$

where we use the shorthand  $(w)_{x,r} := \int_{B_r(x)} w dy$ , and

$$E(x, r) := \int_{B_r(x)} |Du - (Du)_{x,r}|^2 dy + \int_{B_r(x)} |Du - (Du)_{x,r}|^q dy \leq \varepsilon,$$

then

$$E(x, \tau r) \leq C^* \tau^2 E(x, r).$$

With the higher integrability of Theorem 5 and the Caccioppoli inequality of Lemma 2 at hand, we can prove Lemma 4 following almost verbatim the proof of the corresponding result [7, Lemma 4.1], which contain the statement of Lemma 4 under the assumption  $\frac{q}{p} < 1 + \frac{2}{n}$  (note that in [7] somewhat more general growth conditions including also the case  $1 < p < q$  are considered). Thus, we only sketch the argument.

**Proof of Lemma 4** Fix  $M > 0$ . Suppose that Lemma 4 is wrong. Then there exists  $\tau \in (0, \frac{1}{4})$ , a local minimizer  $u \in W_{loc}^{1,1}(\Omega, \mathbb{R}^N)$ , which in view of Theorem 2 satisfies  $u \in W_{loc}^{1,q}(\Omega, \mathbb{R}^N)$ , and a sequence of balls  $B_{r_m}(x_m) \Subset B_R$  satisfying

$$|(Du)_{x_m, r_m}| \leq M, \quad E(x_m, r_m) =: \lambda_m \text{ with } \lim_{m \rightarrow \infty} \lambda_m = 0, \tag{39}$$

$$E(x_m, \tau r_m) > C^* \tau^2 \lambda_m^2, \tag{40}$$

where  $C^*$  is chosen below. We consider the sequence of rescaled functions given by

$$v_m(z) := \frac{1}{\lambda_m r_m} (u(x_m + r_m z) - a_m - r_m A_m z),$$

where  $a_m := (u)_{x_m, r_m}$  and  $A_m := (Du)_{x_m, r_m}$ . Assumption (39) implies  $\sup_m |A_m| \leq M$  and thus, up to subsequence,

$$A_m \rightarrow A \in \mathbb{R}^{N \times n}.$$

The definition of  $v_m$  yields

$$Dv_m(z) = \lambda_m^{-1} (Du(x_m + r_m z) - A_m), \quad (v_m)_{0,1} = 0, \quad (Dv_m)_{0,1} = 0 \tag{41}$$

Assumptions (39) and (40) imply

$$\int_{B_1} |Dv_m|^2 dz + \lambda_m^{q-2} \int_{B_1} |Dv_m|^q dz = \lambda_m^{-1} E(x_m, r_m) = 1, \tag{42}$$

$$\int_{B_\tau} |Dv_m - (Dv_m)_{0,\tau}|^2 dz + \lambda_m^{q-2} \int_{B_\tau} |Dv_m - (Dv_m)_{0,\tau}|^q dz > C^* \tau^2. \tag{43}$$

The bound (42) together with (41) imply the existence of  $v \in W^{1,2}(B_1, \mathbb{R}^N)$  such that, up to extracting a further subsequence,

$$\begin{aligned} v_m &\rightharpoonup v && \text{in } W^{1,2}(B_1, \mathbb{R}^N), \\ \lambda_m Dv_m &\rightarrow 0 && \text{in } L^2(B_1, \mathbb{R}^{N \times n}) \text{ and almost everywhere} \\ \lambda_m^{1-\frac{2}{q}} v_m &\rightarrow 0 && \text{in } W^{1,q}(B_1, \mathbb{R}^N). \end{aligned}$$

The function  $v$  satisfies the linear equation with constant coefficients

$$\int_{B_1} \langle \partial^2 f(A) Dv, D\varphi \rangle dz = 0 \quad \text{for all } \varphi \in C_0^1(B_1),$$

see, e.g., [21] or [7, Proposition 4.2]. Standard estimates for linear elliptic systems with constant coefficients imply  $v \in C_{\text{loc}}^\infty(B_1, \mathbb{R}^N)$  and existence of  $C^{**} < \infty$  depending only on  $n, N$  and the ellipticity contrast of  $\partial^2 f(A)$  (and thus on  $\frac{L}{\nu}, p, q$ , and  $M$ ) such that

$$\int_{B_\tau} |Dv - (Dv)_{0,\tau}|^2 \leq C^{**} \tau^2. \tag{44}$$

Choosing  $C^* = 2C^{**}$  we obtain a contradiction between (43) and (44) provided we have as  $m \rightarrow \infty$

$$Dv_m \rightarrow Dv \quad \text{in } L_{\text{loc}}^2(B_1), \tag{45}$$

$$\lambda_m^{1-\frac{2}{q}} Dv_m \rightarrow 0 \quad \text{in } L_{\text{loc}}^q(B_1). \tag{46}$$

Exactly as in [7, Proposition 4.3] (with  $\mu = 2 - p$ , see also [9, Section 3.4.3.2] for a more detailed presentation of the proof), we have for all  $\rho \in (0, 1)$ ,

$$\lim_{m \rightarrow \infty} \int_{B_\rho} \int_0^1 (1-s) \left( 1 + |A_m + \lambda_m (Dv + s Dw_m)|^2 \right)^{\frac{p-2}{2}} |Dw_m|^2 dz = 0, \tag{47}$$

where  $w := v_m - v$ , and thus the local  $L^2$ -convergence (45) follows. It is left to prove (46). For this, we introduce for  $\rho \in (0, 1)$  and  $T > 0$  the sequence of subsets

$$U_m := U_m(\rho, T) := \{z \in B_\rho : \lambda_m |Dv_m| \leq T\}.$$

The local Lipschitz regularity of  $v, q > 2$  and (45) imply for all  $\rho \in (0, 1)$  and  $T > 0$

$$\begin{aligned} \limsup_{m \rightarrow \infty} \int_{U_m(\rho, T)} \lambda_m^{q-2} |Dv_m|^q dz &\lesssim \limsup_{m \rightarrow \infty} \int_{U_m(\rho, T)} \lambda_m^{q-2} |Dw_m|^q dz \\ &\lesssim \limsup_{m \rightarrow \infty} \int_{B_\rho} (M^{q-2} + \lambda_m^{q-2} |Dv|^{q-2}) |Dw_m|^2 dz \\ &= 0, \end{aligned}$$

where here and for the rest of the proof  $\lesssim$  means  $\leq$  up to a multiplicative constant depending only on  $L, n, N, p$  and  $q$ . Hence, it is left to show that there exists  $T > 0$  such that

$$\limsup_{m \rightarrow \infty} \int_{B_\rho \setminus U_m(\rho, T)} \lambda_m^{q-2} |Dv_m|^q dz \leq 0 \quad \text{for all } \rho \in (0, 1).$$

As in [7], we introduce a sequence of auxiliary functions

$$\psi_m := \lambda_m^{-1} \left[ (1 + |A_m + \lambda_m Dv_m|^2)^{\frac{p}{4}} - (1 + |A_m|^2)^{\frac{p}{4}} \right],$$

which satisfy

$$\limsup_{m \rightarrow \infty} \|\psi_m\|_{W^{1,2}(B_\rho)} \lesssim c(\rho) \in [1, \infty) \quad \text{for all } \rho \in (0, 1). \tag{48}$$

Indeed, by Theorem 2 and Lemma 2, we have for every  $\rho \in (0, 1)$  and every  $Q \in \mathbb{R}^{N \times n}$

$$\int_{B_{\rho r_m}(x_m)} |\nabla(1 + |Du(x)|^2)^{\frac{p}{4}}|^2 dx \lesssim r_m^{-2} c(\rho) \int_{B_{r_m}(x_m)} (1 + |\nabla u(x)|)^{q-2} |Du(x) - Q|^2 dx$$

and thus by rescaling and setting  $Q = A_m$

$$\int_{B_\rho} |\nabla \psi_m|^2 dz \lesssim c(\rho) \int_{B_1} (1 + |A|^{q-2} + |\lambda_m Dv_m|^{q-2}) |Dv_m|^2 dz \stackrel{(42)}{\lesssim} c(\rho) (1 + M^{q-2}).$$

The identity  $\psi_m = \lambda_m^{-1} \int_0^1 \frac{d}{dt} \Theta(A_m + t \lambda_m v_m) dt$  with  $\Theta(F) := (1 + |F|^2)^{\frac{p}{4}}$  implies

$$|\psi_m| \leq c(|Dv_m| + \lambda_m^{\frac{p-2}{2}} |Dv_m|^{\frac{p}{2}})$$

(see [7, p. 555] for details) and thus with help of (47), we obtain

$$\limsup_{m \rightarrow \infty} \int_{B_\rho} |\psi_m|^2 dz \lesssim c(\rho).$$

For  $T$  sufficiently large (depending on  $M$ ) there exists  $c > 0$  such that for all  $z \in B_\rho \setminus U_m(\rho, T)$

$$\psi_m(z) \geq c \lambda_m^{-1} \lambda_m^{\frac{p}{2}} |Dv_m(z)|^{\frac{p}{2}} \quad \text{and thus} \quad \lambda_m^{\frac{2(1+\frac{q}{p})}{p}} \psi_m^{\frac{2q}{p}}(z) \geq c^{\frac{2q}{p}} \lambda_m^{q-2} |Dv_m(z)|^q$$

Estimate (48) and Sobolev embedding imply  $\limsup_{m \rightarrow \infty} \|\psi_m\|_{L^{\frac{2n}{n-2}}(B_\rho)} \lesssim c(\rho) \in [1, \infty)$ .

Hence, using assumption  $\frac{q}{p} < 1 + \frac{2}{n-1}$  (and thus  $\frac{2q}{p} < \frac{2n}{n-2}$ ), we obtain for every  $\rho \in (0, 1)$

$$\limsup_{m \rightarrow \infty} \int_{B_\rho \setminus U_m(\rho, T)} \lambda_m^{q-2} |Dv_m|^q dz \lesssim \lambda_m^{2(1+\frac{q}{p})} \int_{B_\rho} \psi_m^{\frac{2q}{p}}(z) dz \lesssim c(\rho) \limsup_{m \rightarrow \infty} \lambda_m^{2(1+\frac{q}{p})} = 0,$$

which finishes the proof.  $\square$

**Funding** Open Access funding enabled and organized by Projekt DEAL.

**Open Access** This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit <http://creativecommons.org/licenses/by/4.0/>.

## References

1. Acerbi, E., Fusco, N.: Partial regularity under anisotropic  $(p, q)$  growth conditions. *J. Differ. Equ.* **107**, 46–67 (1994)
2. Baroni, P., Colombo, M., Mingione, G.: Regularity for general functionals with double phase. *Calc. Var. Partial Differ. Equ.* **57**(2), 62 (2018)
3. Beck, L., Mingione, G.: Lipschitz bounds and non-uniform ellipticity. *Commun. Pure Appl. Math.* **73**, 944–1034 (2020)
4. Bella, P., Schäffner, M.: Local Boundedness and Harnack Inequality for Solutions of Linear Nonuniformly Elliptic Equations. *Comm. Pure Appl. Math.* **74**, 453–477 (2021)
5. Bella, P., Schäffner, M.: Quenched invariance principle for random walks among random degenerate conductances. *Ann. Probab.* **48**(1), 296–316 (2020)
6. Bella, P., Schäffner, M.: On the regularity of minimizers for scalar integral functionals with  $(p, q)$ -growth. *Anal. PDE* **13**(7), 2241–2257 (2020)
7. Bildhauer, M., Fuchs, M.: Partial regularity for variational integrals with  $(s, \mu, q)$ -growth. *Calc. Var. Partial Differ. Equ.* **13**(4), 537–560 (2001)
8. Bildhauer, M., Fuchs, M.: Twodimensional anisotropic variational problems. *Calc. Var. Partial Differ. Equ.* **16**, 177–186 (2003)
9. Bildhauer, M.: *Convex Variational Problems*. volume 1818 of *Lecture Notes in Mathematics*. Springer, Berlin (2003)
10. Breit, D.: Dominic, New regularity theorems for non-autonomous variational integrals with  $(p, q)$ -growth. *Calc. Var. Partial Differ. Equ.* **44**(1–2), 101–129 (2012)
11. Carozza, M., Kristensen, J., Passarelli di Napoli, A.: Regularity of minimizers of autonomous convex variational integrals. *Ann. Sc. Norm. Super. Pisa Cl. Sci. (5)* **13**(4), 1065–1089 (2014)
12. Chlebicka, I., De Filippis, C., Koch, L.: Boundary regularity for manifold constrained  $p(x)$ -Harmonic maps. [arXiv:2001.06243](https://arxiv.org/abs/2001.06243) [math.AP]
13. Colombo, M., Mingione, G.: Regularity for double phase variational problems. *Arch. Ration. Mech. Anal.* **215**(2), 443–496 (2015)
14. Cupini, G., Marcellini, P., Mascolo, E.: Local boundedness of minimizers with limit growth conditions. *J. Optim. Theory Appl.* **166**, 1–22 (2015)
15. De Filippis, C., Mingione, G.: On the regularity of minima of non-autonomous functionals. *J. Geom. Anal.* **30**(2), 1584–1626 (2020)
16. De Filippis, C.: Partial regularity for manifold constrained  $p(x)$ -harmonic maps. *Calc. Var. Partial Differ. Equ.* **58**(2) (2019), Paper No. 47
17. Eleuteri, M., Marcellini, P., Mascolo, E.: Regularity for scalar integrals without structure conditions. *Adv. Calc. Var.* **13**(3), 279–300 (2020)

18. Esposito, L., Leonetti, F., Mingione, G.: Higher integrability for minimizers of integral functionals with  $(p, q)$  growth. *J. Differ. Equ.* **157**(2), 414–438 (1999)
19. Esposito, L., Leonetti, F., Mingione, G.: Regularity results for minimizers of irregular integrals with  $(p, q)$  growth. *Forum Math.* **14**(2), 245–272 (2002)
20. Esposito, L., Leonetti, F., Mingione, G.: Sharp regularity for functionals with  $(p, q)$  growth. *J. Differ. Equ.* **204**(1), 5–55 (2004)
21. Evans, L.C.: Quasiconvexity and partial regularity in the calculus of variations. *Arch. Rational Mech. Anal.* **95**(3), 227–252 (1986)
22. Fusco, N., Sbordone, C.: Some remarks on the regularity of minima of anisotropic integrals. *Commun. Partial Differ. Equ.* **18**, 153–167 (1993)
23. Giaquinta, M.: Growth conditions and regularity, a counterexample. *Manuscr. Math.* **59**(2), 245–248 (1987)
24. Giusti, E.: *Direct Methods in the Calculus of Variations*, p. viii+403. World Scientific Publishing Co., Inc., River Edge, NJ (2003)
25. Harjulehto, P., Hästö, P., Toivanen, O.: Hölder regularity of quasiminimizers under generalized growth conditions. *Calc. Var. Partial Differential Equations* **56**(2) (2017), Paper No. 22, pp 26
26. Hirsch, J., Schäffner, M.: Growth conditions and regularity, an optimal local boundedness result. *Commun. Contemp. Math.* (2020). <https://doi.org/10.1142/S0219199720500297>
27. Marcellini, P.: Regularity of minimizers of integrals of the calculus of variations with nonstandard growth conditions. *Arch. Rational Mech. Anal.* **105**(3), 267–284 (1989)
28. Marcellini, P.: Regularity and existence of solutions of elliptic equations with  $p, q$ -growth conditions. *J. Differ. Equ.* **90**(1), 1–30 (1991)
29. Marcellini, P.: Regularity for some scalar variational problems under general growth conditions. *J. Optim. Theory Appl.* **90**(1), 161–181 (1996)
30. Marcellini, P.: Growth conditions and regularity for weak solutions to nonlinear elliptic pdes. *J. Math. Anal. Appl.* (2020), (to appear)
31. Mingione, G.: Regularity of minima: an invitation to the dark side of the calculus of variations. *Appl. Math.* **51**(4), 355–426 (2006)
32. Passarelli Di Napoli, A., Siepe, F.: A regularity result for a class of anisotropic systems. *Rend. Ist. Mat. Univ. Trieste* **28**(1–2), 13–31 (1996)
33. Rădulescu, V.D., Repovš, D.D.: *Partial Differential Equations with Variable Exponents. Variational Methods and Qualitative Analysis. Monographs and Research Notes in Mathematics.* CRC Press, Boca Raton (2015)
34. Sverák, V., Yan, X.: Non-Lipschitz minimizers of smooth uniformly convex functionals. *Proc. Natl. Acad. Sci. USA* **99**, 15269–15276 (2002)

**Publisher's Note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.